CHANGE LOG

Revision	Submission Date	Affected Sections or Pages	Change Summary
Initial	3/20/2023	All	Initial issue of document.
Post-TRR updates	4/9/2023	All	Update all B13.0 reference to B13.1

TABLE OF CONTENTS

INTRODUCTION 11.1 IDENTIFICATION 11.2 PURPOSE 11.3 OVERVIEW 11.4 TERMINOLOGY AND NOTATION 11.5 REFERENCES 12 **DEPENDENCIES** AND **ENVIRONMENTS** 22.1 SOFTWARE DEPENDENCIES AND ASSUMPTIONS 22.2 TEST **ENVIRONMENT** 2**5** 3**6** 2**3** TEST POLICY 24 **TEST TOOLS TEST PROCEDURES TRACEABILITY MATRICES 97 TEST ENVIRONMENT AND PERSONNEL** 15**8 TESTING** CONSTRAINTS AND RISKS 15A. APPENDIX NAME Error! Bookmark not defined.

TABLE OF TABLES

Table 1: Applicable JPL Rules Documents	1
Table 2: Applicable MGSS Documents	1
Table 3: Software Packages	2
Table 4: Test Tools	2
Table 5: Summary of Test Procedures	3
Table 6: Requirement, Improvement, and Feature Traceability Matrix	3
Table 7: Defect Traceability Matrix	4
Table 8: Availability of Personnel	4

1 Introduction

1.1 IDENTIFICATION

Property	Value
Configuration ID (CI)	
Element	PDS
Program Set	
Version	B13.1.3

1.2 Purpose

This Test Plan defines specific tests Integration and Testing Team will perform to verify and validate that the PDS4 system deployed for Build 13.1. Section 5 of this document lists each test and a summary of how each test is going to be performed. A traceability matrix in section 6 and section 7 traces these tests to the new PDS4 system design requirements, enhancements and defects.

1.3 OVERVIEW

See <u>B13.1 RDD</u>.

1.4 TERMINOLOGY AND NOTATION

N/A

1.5 REFERENCES

See <u>B13.1 RDD</u>.

Table 1: Applicable JPL Rules Documents

Title	DocID
Software Development	57653
Overview of Software Development Processes	78187

Table 2: Applicable MGSS Documents

Title	Document Number
MGSS Implementation and Maintenance Task Requirements	DOC-001455

2 DEPENDENCIES AND ENVIRONMENTS

2.1 SOFTWARE DEPENDENCIES AND ASSUMPTIONS

Included in this release are the following packages.

Table 3: Software Packages

Product	Version
Java Runtime Environment (JRE)	11.0.16.1
Various Java dependency libraries used by testable products (e.g., JASON, etc.)	varies
Python	3.9.7
Various Python modules used for test setup/analysis (e.g., Pandas, etc.)	varies

2.2 TEST ENVIRONMENT

Build 13.1 integration and test environment encompasses the following:

Host	os	RA M	Software
Miguel's laptop	Mac OS X 11.6.5	16 GB	Validate, im
GC's laptop	Mac OS X 13.2.1	16 GB	Deep-archive, PDS-API, Registry, Registry-API, Registry-Mgr, Doi Service, DOI-UI, harvest, Nucleus, pds4-jparser, portal-tasks
Miguel's pc	Windows 10 Enterprise (21H2)	32 GB	Validate, im
pds-int	Oracle Linux Server 8.7	16 GB	Registry. Open-Search

3 TEST POLICY

The PDS4 build structure is organized such that the system can be tested and verified early on to ensure seamless transitions. The builds will ensure there is a coordinated testing and deployment of functionality coupled with upgrades of the data standards.

Build 13.1 Integration testing is the execution and management of tests by the Engineering Node to ensure that the release of Build 13.1 meets the intended functionality. The process of verification testing includes the selection of verification items, integration, and regression testing.

Any functionality that is added to the system is treated as a new verification item.

4 TEST TOOLS

Table 4: Test Tools

Tool	Version
Docker Desktop	4.12.0
Curl	7.79.1
PostMan	

5 TEST PROCEDURES

Table 5 identifies all the test procedures that verify the implementation of requirements, improvements, features, and so on, as well as the correction of defects and the time to perform each test. The total estimated time to execute the test procedures is 38.5 hours. Release Build 13.1 Test Procedure identifies the complete specification of the test procedures.

Table 5: Summary of Test Procedures

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
API.1	Verify PDS Search only return the latest version	0.5 hour
API.2	Verify api only return latest version if query is not /all	0.5 hour
API.3	Test performance of api service	1 hour
API.4	Verify that vnd.nasa.pds.pds4+xml format does return information	0.5 hour
API.5	Verify Swagger API page does show the expected deployed API version	0.5 hour
API.6	Verify that text/csv format does return information	0.5 hour
API.7	Verify that API Client can connect to current deployed API	0.5 hour
API.8	Verify that hits shows the overall number of hits	0.5 hour
API.9	Verify that vnd.nasa.pds.pds4+json format does return information	0.5 hour
API.10	Verify that Swagger interface from pds.nasa.gov works	0.5 hour

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
API.11	Verify that user get application/json response format by default	0.5 hour
API.12	Verify that query responses for empty results is clearly documented	0.5 hour
API.13	Verify updated cookbook documents how to query observational data only	0.5 hour
API.14	Verify that NASA/PDS Skin is developed for Search API Swagger interface	0.5 hour
JPAR.1	Verify that validate doesn't fail for table fields of type ASCII_Numeric_Base16	0.5 hour
PORT.1	Test portal XSS vulnerability	1 hour
PORT.2	Evaluate portal re-scan result	05. hour
PORT.3	Verify there is a login page for release manager	0.5 hour
PORT.4	Verify there is no error for Subscription Service	0.5 hour
PORT.5	Test vulnerability for dataset status	1 hour
REG.1	Verify dashboard has bundles with archive status and other tracking information	1 hour
REG.2	Verify registry-manager can change archive status on bundle contents	1 hour
REG.3	Test with Reg.2	0 hour
REG.4	Verify registry-manager can change archive status on Collection contents	0.5 hour
REG.5	Verify Open-search service starts on Linux	1 hour
REG.6	Verify ref_collection_lidvid field doesn't use old version	0.5 hour
REG.7	Verify TLS endpoint is on 8443	0.5 hour
REG.8	Verify updated document has explicit example of how to query staged data	0.5 hour
REG_API.1	Verify that registry-api does return latest version of product metadata when multiple versions are harvested	0.5 hour
REG_API.2	Verify /all suffix returns a message that is clear	0.5 hour

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
REG_API.3	Verify that requests with bad q= syntax should return 400 error	0.5 hour
REG_API.4	Verify pagination is working as expected with /collections/{identifier}/products	0.5 hour
REG_API.5	Verify observational end-point returns correct data	0.5 hour
REG_API.6	Verify API returns the correct version when LIDVID is used as an id.	0.5 hour
REG_API.7	Verify /classes/collections/ <lidvid>/members works</lidvid>	0.5 hour
REG_API.8	Verify documents / members works	0.5 hour
REG_API.9	Verify api does return 400 error when q parameter value cannot be parsed	0.5 hour
REG_API.10	Verify Keyword search does work on registry- api deployed on pds.nasa.gov	0.5 hour
REG_API.11	Verify idvid not found does not raises 500 error	0.5 hour
REG_API.12	Verify no vulnerabilities is raised by sonalift	0.5 hour
REG_API.13	Verify q="" the returnes 400	0.5 hour
REG_API.14	Verify https://pds.nasa.gov/api/search/1/swagger-ui/index.html has no errors	0.5 hour
REG_API.15	Verify /classes endpoint does work	0.5 hour
REG_API.16	Verify if not found lidvid, API returns 404 error	0.5 hour
REG_API.17	Verify /members of a bundle can be requested	0.5 hour
REG_API.18	Verify leading multiple forward-slashes in request paths are stripped out	0.5 hour
REG_API.19	Verify API to support redundant / in the url	0.5 hour
REG_API.20	Verify lidvid/members and lidvid/members/members works	0.5 hour
REG_API.21	Verify I can search by any metadata attribute with q=	1 hour

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
REG_API.22	Verify API returns application/json by default	0.5 hour
REG_HAR.1	Verify harvest service doesn't skip collection inventory files	0.5 hour
REG_MGR.1	Test with registry#136	0.5 hour
REG_MGR.2	Verify searching with ref_lid_* returns the description of the bundle of the requested product.	1 hour
DEEP.1	Verify Pagination works	1 hour
DEEP.2	Verify connection with PDS API	1 hour
DOI.1	Verify DOI can generate /export json report of DOI metadata	0.5 hour
DOI.2	Verify DOI Service does accurately parse <author_list> and <editor_list> in XML labels</editor_list></author_list>	0.5 hour
DOI.3	Verify DOI service sends out email notification when a new DOI has been minted or updated.	0.5 hour
DOI.4	Verify DOI service can release a DOI with a label that does not contain the DOI	0.5 hour
DOI.5	Verify reporting to push to ADS SFTP server	1 hour
DOI-UI.1	Verify no vulnerabilities from the package per npm audit.	1 hour
DOI-UI.2	Verify doi-editor release ui works under Firefox	1 hour
HAR.1	Verify —overwrite flag is respected for <bur> dundles> elements in harvest config</bur>	1 hour
HAR.2	Verify Harvest does not skips path that is the root of a soft link	0.5 hour
HAR.3	Verify Harvest skipped message is chear	0.5 hour
NUC.1	Verify user can find documents to understand the baseline architecture and deployment	0.5 hour
IM.1	Execute Iddtool against the latest released ingest data dictionary and the previously released ingest data dictionary. Validate that the latest generated schema only includes a single line for rule	1 hour

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
	"disp:Movie_Display_settings" whereas the previous release shows multiple duplicate rules.	
IM.2	Execute validate against a dataset that references kg/m3 and g/cm3 against the latest data schema release. Verify that it is successful. Likewise test against an older data schema release to verify that errors/warnings are raised.	1 hour
IM.3	Verify that Funding_Acknowledgement class is within Citation_Information Class	0.5 hour
IM.4	Verify new permissible values has been added to Header/parsing_standard_id to support new versions of CDF	0.5 hour
IM.5	Verify new permissible value has been added for microradians to Units_of_Angle	0.5 hour
IM.6	Verify new permissible value has been added to Units_of_Misc	0.5 hour
IM.7	As a developer I want to have the PDS4 Information Model expressed in the RDF/OWL/TTL format.	0.5 hour
IM.8	Verify new permissible value of nm/mm has been added to Units_of_Misc	0.5 hour
IM.9	Verify that more rigorous/stringent rules for leap seconds are being used	0.5 hour
IM.10	Verify support for video and audio as product observational	0.5 hour
VAL.1	Verify that content validation has been refactored to more robustly handle intermingled Headers	0.5 hour
VAL.2	Verify that Validate does not calculate overlaps correctly when Header is not first object in file	1.0 hour
VAL.3	As a user, I want to execute content validation against every nth file	1.5 hour
VAL.4	As a user, I want to be able to use validate from a docker container	1.0 hour
VAL.5	Verify that pds4.bundle option travels and finds nested subdirectories	0.5 hour

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
VAL.6	Verify that Validate does not check PDF/A validity if content validation is disabled	0.5 hour
VAL.7	Verify that Validate can find files in directory specified by <directory_path_name></directory_path_name>	0.5 hour
VAL.8	Verify that Validate flags a data file correctly	0.5 hour
VAL.9	Verify that Validate does not pass confusing message to the command window	0.5 hour
VAL.10	Verify that Table_Character is accurately checking field formats	0.5 hour
VAL.11	Verify that Validate catches NaNs in Binary Tables	0.5 hour
VAL.12	Verify that Validate throws record length error when record delimiter does not occur in correct location	0.5 hour
VAL.13	Verify that Validate does not incorrectly throw ERROR [error.array.value_out_of_min_max_range]	0.5 hour
VAL.14	Verify that Validate does not incorrectly throw ERROR [error.table.bad_file_read]	0.5 hour
VAL.15	Verify that Validate does not incorrectly throw ERROR [error.table.bad_file_read]	0.5 hour
VAL.16	Verify that Validate doesn't erroneously throw IOException when using –spot-check-data flag	0.5 hour
VAL.17	Verify that Validate properly validates Array objects	0.5 hour
VAL.18	Verify that Validate correctly handles field format checks for hex values	0.5 hour
VAL.19	As a user, I want to validate a bundle that uses multiple versions of the Information Model / Discipline LDDs	0.5 hour
VAL.20	Verify that Validate enables CRLF to be embedded within a Table_Character record	0.5 hour
VAL.21	Verify that Validate does not throw NullPointerException when Table_Delimited is missing records attribute	0.5 hour

Test Procedure ID	Test Procedure Summary Statement	Time to Perform Test
VAL.22	Verify that validate does not throw an uncaught exception error when validating an array object	0.5 hour
VAL.23	Verify that Validate contains improved error message when file is truncated and cannot be read	0.5 hour
VAL.24	Verify that Validate contains improved error message when there are overlapping object in a label	0.5 hour
VAL.25	Verify unit tests have been added to test support registry referential integrity checker tool	0.5 hour
VAL.26	Verify that a user is able to validate all products referenced from a collection exist within the archive	0.5 hour
VAL.27	Verify that a user is able to validate all collections referenced from a bundle exist within the archive	0.5 hour
VAL.28	Verify that a user is able to validate all internal references to products in the PDS archive are valid	0.5 hour
VAL.29	Verify that a user is able to validate all internal references from one product to another exist within the archive	0.5 hour

6 TRACEABILITY MATRICES

Table 6 identifies the implemented requirements, improvements, features and so on, including references to the test procedures that verify them.

Table 6: Requirement, Improvement, and Feature Traceability Matrix

ID	Requirement, Improvement, or Feature Statement	Verification Procedure ID
doi- service#283	As a publisher, I want to be notified when a new DOI has been minted or significant update to the metadata	DOI.3
doi- service#344	As a user, I want to release a DOI with a label that does not contain the DOI	DOI.4
pds- api#193	As a user, I want to be able to access the Search API Swagger interface from pds.nasa.gov	API.10
pds- api#239	As a user, I want to get application/json response format by default if I request an API url in my browser	API.11
pds- api#198	As a user, I want query responses for empty results to be clearly documented	API.12

ID	Requirement, Improvement, or Feature Statement	Verification Procedure ID
pds- api#175	As a user, I want to know how to query observational data only	API.13
nucleus#29	As a user, I want to know the baseline architecture and deployment	NUC.1
pds4- information -model#473	As a user, I want to describe a relationship between an attribute in the model and the column of a table	IM.2
pds4- information -model#611	CCB-355: Add Funding_Acknowledgement class to Citation_Information Class	IM.3
pds4- information -model#608	CCB-354: Add new permissible values to Header/parsing_standard_id to support new versions of CDF	IM.4
pds4- information -model#602	CCB-361: Add a permissible value for microradians to Units_of_Angle	IM.5
pds4- information -model#599	CCB-360: Add new permissible value to Units_of_Misc	IM.6
pds4- information -model#458	As a developer I want to have the PDS4 Information Model expressed in the RDF/OWL/TTL format.	IM.7
pds4- information -model#603	CCB-362: Add a permissible value of nm/mm to Units_of_Misc	IM.8
pds4- information -model#502	CCB-350: Adopt more rigorous / stringent rules for leap seconds and use of "24:00:00" in datetimes	IM.9
pds4- information -model#616	CCB-325: Support for video and audio as product observational	IM.10
registry#10 0	Update documentation to include explicit example of how to query staged data	REG.8
registry- api#208	As a registry-tool/registry-user I want to ensure leading multiple forward-slashes in request paths are stripped out	REG_API.18
registry- api#212	As a user, I want the API to support redundant / in the url	REG_API.19
registry- api#223	As a user, I want to know the members of a bundle product	REG_API.20
registry- api#282	As a user, I want to search by any metadata attribute	REG_API.21
registry- api#248	Suggest: Return Content-Type application/json by default	REG_API.22

ID	Requirement, Improvement, or Feature Statement	Verification Procedure ID
doi- service#363	Enhance weekly reporting to push to ADS SFTP server	DOI.5
harvest#10 6	Improve skipped product INFO message	HAR.3
pds- api#194	Develop NASA/PDS Skin for Search API Swagger interface	API.14
validate#56 9	Improve error message when file is truncated and cannot be read	VAL.23
validate#43 6	Improve error messages for overlapping objects in a label	VAL.24
validate#60 3	Verify unit tests have been added to test support registry referential integrity checker tool	VAL.25
validate#59 6	Verify that a user is able to validate all products referenced from a collection exist within the archive	VAL.26
validate#59 5	Verify that a user is able to validate all collections referenced from a bundle exist within the archive	VAL.27
validate#41 5	Verify that a user is able to validate all internal references to products in the PDS archive are valid	VAL.28
validate#31 6	Verify that a user is able to validate all internal references from one product to another exist within the archive	VAL.29
registry#13 0	As a user, I want to view dashboard report of bundles with archive status and other tracking information	REG.1

Table 7 identifies the corrected defects and the references to the test procedures that verify them.

Table 7: Defect Traceability Matrix

ID	Summary Statement of Defect	Verification Procedure ID
deep-archive#137	Pagination handling does not appear to work properly for pds-deep-registry-archive	DEEP.1
deep-archive#134	Cannot connect to any PDS API endpoints for pds- deep-archive-registry	DEEP.2
doi-service#398	Still – Unable to generate / export json report of DOI metadata	DOI.1
doi-service#377	DOI Service does not accurately parse <author_list> and <editor_list> in XML labels</editor_list></author_list>	DOI.2
doi-ui#130	Remove vulnerabilities from the package per npm audit	DOI-UI.1
doi-ui#167	doi-editor release ui inoperable under Firefox	DOI-UI.2
harvest#102	Harvest skips path that is the root of a soft link	HAR.2
harvest#112	–overwrite flag is not respected for <bundles> elements in harvest config</bundles>	HAR.1
pds-api#200	API performance degradation from B12.1 release	API.3

ID	Summary Statement of Defect	Verification Procedure ID
pds-api#215	vnd.nasa.pds.pds4+xml format does not return information	API.4
pds-api#196	Swagger API page does not show the expected deployed API version	API.5
pds-api#213	Accept header of text/csv returns blank lines	API.6
pds-api#240	API Client cannot connect to current deployed API	API.7
pds-api#199	hits appears to be showing the current page count, not the overall number of its	API.8
pds-api#214	vnd.nasa.pds.pds4+json format does return information	API.9
pds4-information- model#618	Information Model does not have Product_External included in Identification_Area.product_class	IM.1
pds4-jparser#57	Parse failure for table fields of type ASCII_Numeric_Base16	JPAR.1
portal-tasks#54	Possible XSS vulnerability on data set view pages	PORT.1
portal-tasks#47	[SECURITY] JQuery upgrade needed to avoid possible injection	PORT.2
portal-tasks#31	Data release manager pages missing login session check	PORT.3
portal-tasks#8	URL Encoding errors from Subscription Service pages	PORT.4
portal-tasks#55	dataset status needs to be updated to better handle potential security vulnerability	PORT.5
registry#136	follow on to #118, registry-manager still unable to change archive status on bundle contents	REG.2
registry#118	archive status value was not changed on bundle's contents	REG.3
registry#97	registry-manager won't set archive-status on some collections	REG.4
registry#146	OpenSearch service of Registry won't start on Linux	REG.5
registry#159	ref_collection_lidvid field in some node registries populated using older versions of registry loader tools create string fields in the schema vs. the expected list types	REG.6
registry#150	TLS termination listening on wrong port	REG.7
registry-api#224	registry-api does not return latest version of product metadata when multiple versions are harvested	REG_API.1
registry-api#190	/all suffix returns a message which I don't understand	REG_API.2
registry-api#241	requests with bad q= syntax should return 400 error	REG_API.3

ID	Summary Statement of Defect	Verification Procedure ID
registry-api#240	Pagination not working as expected with /collections/{identifier}/products	REG-API.4
registry-api#202	observational end-point returns collections	REG_API.5
registry-api#234	registry-api does not respect VID when a LIDVID is used as an id, instead returns latest version	REG_API.6
registry-api#231	/classes/collections/ <lidvid>/members (and deprecated equivalent) hangs</lidvid>	REG_API.7
registry-api#196	documents / members returns an error	REG_API.8
registry-api#260	api does not return 400 error when q parameter value cannot be parsed	REG_API.9
registry-api#227	Keyword search does not work on registry-api deployed on pds.nasa.gov	REG_API.10
registry-api#207	idvid not found raises 500 error	REG_API.11
registry-api#121	Fix vulnerabilities raised by sonalift	REG_API.12
registry-api#206	When q="" the returned status is 500 instead of 400	REG_API.13
registry-api#211	swaggger ui error in production	REG_API.14
registry-api#200	/classes endpoint does not work in a browser	REG_API.15
registry-api#258	not found lidvid does not return 404 error	REG_API.16
registry-api#261	The members of a bundle can not be requested	REG_API.17
registry-harvest- service#25	Harvest service sometimes skips collection inventory files	REG_HAR.1
registry-mgr#57	ref_lid_collection error when ingesting data sets	REG_MGR.1
validate#425	Refactor content validation to more robustly handle intermingled Headers	VAL.1

ID	Summary Statement of Defect	Verification Procedure ID
validate#480	Validate does not calculate overlaps correctly when Header is not first object in file	VAL.2
validate#1	As a user, I want to execute content validation against every nth file	VAL.3
validate#556	As a user, I want to be able to use validate from a docker container	VAL.4
validate#444	pds4.bundle option seems to not travel through enough subdirectories	VAL.5
validate#453	Validate should not check PDF/A validity if content validation is disabled	VAL.6
validate#474	Validate can't find files in directory specified by <directory_path_name></directory_path_name>	VAL.7
validate#499	validate doesn't flag a data file with only LF	VAL.8
validate#503	validate passes confusing message to the command window	VAL.9
validate#511	Table_Character not accurately checking field formats	VAL.10
validate#514	Validate does not catch NaNs in Binary Tables	VAL.11
validate#519	Validate should throw record length error when record delimiter does not occur in correct location	VAL.12
validate#529	ERROR [error.array.value_out_of_min_max_range] evaluation is not correct	VAL.13
validate#531	ERROR [error.table.bad_file_read] incorrectly reports that GroupFieldBinary group_length is larger than size of contained fields	VAL.14
validate#544	validate gives a error.table.bad_field_read error	VAL.15
validate#554	-spot-check-data flag throws IOException	VAL.16
validate#564	Array object validation regression in v3.0.3	VAL.17
validate#576	validate does not correctly handle field format checks for hex values	VAL.18

ID	Summary Statement of Defect	Verification Procedure ID
validate#551	Validate fails regression test on issue 188	VAL.19
validate#593	Regression in validate no longer enabling CRLF to be embedded within a Table_Character record	VAL.20
validate#473	NullPointerException when Table_Delimited is missing records attribute	VAL.21
validate#155	Fix uncaught exception error when validating an array object	VAL.22
pds-api#220	Past versions are being returned by API, by default	API.1
pds-api#221	As a user, I want to query only the latest versions of products unless explicitly requested	API.2

7 TEST ENVIRONMENT AND PERSONNEL

Table 8: Availability of Personnel

Gary Chen	PDS-API, Registry, Registry-API, Registry-Mgr, Doi Service, DOI-UI, harvest, Planetarydata.org, WDS-web, Portal-tasks,	18 hours/week
Miguel Pena	Validate, IM, regression tests	20 hours/week
		Enter total hours or days here

8 TESTING CONSTRAINTS AND RISKS

These planned tests fully depend on Registry Docker fully functioning, including bringing up swagger web and loading test data from existing test folders.

- IF installation and configuration of external software packages goes poorly THEN testing of the registry will halt until solved WITH LIKELIHOOD OF 50%.
- IF software changes and fixes come in THEN those tests will need to be rerun WITH LIKELIHOOD
 of 90% and CONSEQUENCE OF resetting the number of days needed for testing.
- IF DataCite's interface works poorly THEN many components of DOI service will halt WITH LIKELIHOOD of less than 1%.